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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/30 (5/2000)

REQUEST FOR CONTINUED EXAMINATION (RCE)

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/284,114
Filing Date	April 7, 1999
First Named Inventor	Shimon Sakaguchi
Group Art Unit	1633
Examiner Name	E. Sorbello
Attorney Docket Number	13750-00200

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This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 68 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. §1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____

b. ☒ Enclosed

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Notice of Receipt in the Case of an Original Deposit of a Microorganism

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other _____

3. Fee

The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050

- i. ☒ RCE fee required under 37 C.F.R. §1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§1.136 and 1.17)
- iii. ☐ Other _____

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- b. ☒ Check in the amount of \$ 850 enclosed

01 FC:179

740.00 DP

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Mi K. Kim	Registration No. (Attorney/Agent)	44,830
Signature	<i>[Signature]</i>	Date	January 9, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to **Commissioner for Patents, Box RCE, Washington, DC 20231** or facsimile transmitted to the U.S. Patent and Trademark Office on: January 9, 2002

Name (Print/Type)	Lucille M. Begalla	Date	January 9, 2002
Signature	<i>[Signature]</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send fees and completed forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

(Translation)

INTERNATIONAL FORM



BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

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TO DEPOSITOR:

Name: Dr. Shimon Sakaguchi of Institute for Frontier Medical Sciences of Kyoto University
Address: 53, Shougoin Kawaharacho, Sakyo-ku, Kyoto, 606-8507 Japan

I. IDENTIFICATION OF MICROORGANISM	
Identification Reference Given by the Depositor: Mouse SKG freezed embryo	Accession Number: FERM BP-7790
II. A SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC POSITION	
The microorganism identified under I above was accompanied by a document stating the following item(s). <input type="checkbox"/> A Scientific Property <input type="checkbox"/> Taxonomic Position	
III. RECEIPT AND ACCEPTANCE	
This International Depositary Authority accepts the microorganism identified under I above, which was received on November 6, 2001. (date of the original deposit)	
IV. RECEIPT OF REQUEST FOR TRANSFER	
This International Depositary Authority received the microorganism under I above on (date of the original deposit), and received on a request for transfer from the original deposit to the deposit under the Budapest treaty.	
V. INTERNATIONAL DEPOSITARY AUTHORITY	
Name: International Patent Organism Depositary National Institute of Advanced Industrial Science and Technology Representative: <u>Yasuhiko KOMATSU</u> (sealed) Dr. Yasuhiko KOMATSU, Director Address: AIST Tsukuba Central 6, 1-1, Higashi 1-Chome, Tsukuba-shi, Ibaraki-ken 305-8566 Japan Date: November 6, 2001	

国際様式. INTERNATIONAL FORM

[特許手続上の微生物の寄託の国際的承認
に関するブダペスト条約]下記国際寄託当局によって規則 7. 1 に従い
発行される。

原寄託についての受託証

氏名 (名称)

坂口 志文

殿

寄託者

あて名 〒

京都府京都市左京区聖護院川原町 5 3
京都大学再生医科学研究所BUDAPEST TREATY ON THE INTERNATIONAL
RECOGNITION OF THE DEPOSIT OF
MICROORGANISMS FOR THE PURPOSES OF
PATENT PROCEDURERECEIPT IN THE CASE OF AN ORIGINAL
DEPOSITissued pursuant to Rule 7.1 by the
INTERNATIONAL DEPOSITARY AUTHORITY
identified at the bottom of this
page.

1. 微生物の表示	
(寄託者が付した識別のための表示) マウス SKG 本籍受精卵	(受託番号) FERM BP- 7790
2. 科学的性質及び分類学上の位置	
1 欄の微生物には、次の事項を記載した文書が添付されていた。 ■ 科学的性質 ■ 分類学上の位置	
3. 受領及び受託	
本国際寄託当局は、平成 13 年 11 月 6 日 (原寄託日) に受領した 1 欄の微生物を受託する。	
4. 移管請求の受領	
本国際寄託当局は、 年 月 日 (原寄託日) に 1 欄の微生物を受領した。 そして、年 月 日に原寄託よりブダペスト条約に基づく寄託への移管請求を受領した。	
5. 国際寄託当局	
独立行政法人産業技術総合研究所 特許生物寄託センター International Patent Organisation 名称: National Institute of Advanced Industrial Science and Technology センター長 小松 泰 Dr. Yasuhiko Komatsu あて名: 日本国茨城県つくば市東 1 丁目 1 番地 1 中央第 6 (郵便番号 305-8566) AIST Tsukuba Central 6.1-1, Higashi 1-Chome Tsukuba-shi, Ibaraki-ken 305-8566 Japan 平成 13 年 (2001) 11 月 6 日	